


Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)				Attorney Docket No.: 003801.P029		Application Number: 09/577,434	
				First Named Inventor: Jason May, et al.			
				Filing Date: May 22, 2000			
U.S. PATENT DOCUMENTS							
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code ² (If known)				
ML		5,757,917		Marshall T. Rose, et al.	05-26-1998		
ML		5,826,241		Lee H. Stein, et al.	10-20-1998		
CLL		6,246,996		Lee H. Stein, et al.	06-12-2001		

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FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				

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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Applicant Number	09/577,434
		Filing Date	May 22, 2000
		First Named Inventor	Jason May, et al.
		Group Art Unit	2164
		Examiner Name	C. Kyle
Sheet 1 of 10	Attorney Docket Number	003801.P029	

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	Number	Name of Patentee or Applicant of Cited Document		
CMK	3,581,072	Nymayer	5/25/1971	3/28/1968
CMK	3,573,747	Adams, et al.	4/6/1971	2/24/1969
CMK	4,412,287	Braddock, III	10/25/1983	9/15/1982
CMK	4,674,044	Kalmus, et al.	4/16/1997	1/30/1985
CMK	4,677,552	Sibley, Jr.	6/30/1987	10/5/1984
CMK	4,789,928	Fujisaki	12/6/1988	1/30/1987
CMK	4,799,156	Shavit, et al.	1/17/1989	10/1/1986
CMK	4,823,265	Nelson	4/18/1989	5/11/1987
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Examiner Initials *	Foreign Patent Document			Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date		
CMK	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992
CMK	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996
CMK	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
CMK	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	
CMK	WARBELOW, A; KOKURYU, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
CMK	"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
CMK	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5	

Examiner Signature	<i>Charles R. [Signature]</i>	Date Considered	7/17/02
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Attorney Docket Number	003801.P029		
Sheet	2	of	10

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CLL	5,136,501	Silverman, et al.	8/4/1992	5/26/1989
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	France	2658635-A1	2.6.1991			
CLL	Canada	2,253,543	3/19/1997			Yes

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CLL	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16	
CLL	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
CLL	POST, DL; COPPINGER, SS, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 1580-1584, Vol. 10, No. 3	
CLL	HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274	

Examiner Signature	Charles R. R.	Date Considered	7/17/02
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	5,826,244	Huberman	10/20/1998	8/23/1995

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Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
OK	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
OK	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technocal Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3	
OK	LEE, G., " Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV	
OK	CLEMINS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	

Examiner Signature	Charles R. [Signature]	Date Considered	7/17/02
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Application Number	09/577,434
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Group Art Unit	2164
Examiner Name	C. Kyle
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Examiner Initials *	U.S.Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
CPL	5,835,896	Fisher, et al.	11/10/1998	3/29/1996	
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CRK	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
CRK	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
CRK	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
CRK	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

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Date	
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one	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , October 1993, No. 9/10	
one	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", <u>Norwegian Computing Center</u> , No Date Given, Pages 1-10	
one	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given, Pages 1-4	
one	RECK, Martin., "Trading-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-7	

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				Examiner Name	C. Kyle
Sheet	6	of	10	Attorney Docket Number	003801.P029

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CRK	VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given, Pages 1-6	
CRK	LEE, HG., "Aucnet: Electronic Intermediary for Used-car Transactions", <u>Focus Theme</u> , No Date Given, Pages 1-5	
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CRK	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given, Pages 1-5	

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Sheet	7	of	10	Attorney Docket Number	003801.P029

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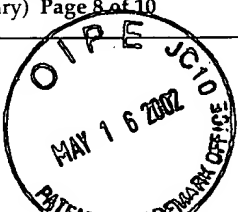
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OK	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol, 1, No. 1	

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OK		5,485,510		Colbert	01-16-1996	
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OK		WIPO	WO 99/63461	A1	Dinwoodie, David	12-09-1999		
OK		WIPO	PCT/US 01/12398	Int'l. Search Report	eBay, Inc.	08-27-2001		

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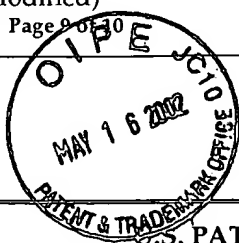
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	First Named Inventor: Jason May, et al.	
Filing Date: May 22, 2000		



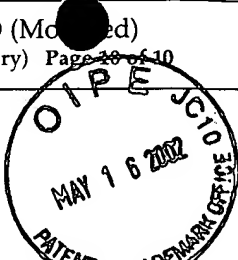
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 20 of 40	Attorney Docket Number 003801.P029	Application Number: 09/577,434
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	Filing Date: May 22, 2000	

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